STATE OF CONNECTICUT STATE ELECTIONS ENFORCEMENT COMMISSION

In Re: SEEC Initiated Investigation of Regina Roundtree

File No. 2015-004

FINDINGS AND CONCLUSIONS

The Respondent, Regina Roundtree of Farmington, CT, violated General Statutes § 9-606 (d) by serving as treasurer of a committee while the Respondent owed a civil penalty for a violation of General Statutes Chapter 155 and as further described in the attached Cease and Desist Order (Attachment A).

The Commission chooses to close the matter without further action due to the following: (1) the Respondent complied with such order within one day of issuance by the Commission; and (2) during the period in which the Respondent was prohibited from serving as treasurer, the relevant committee reports reflect no financial activity. As the committee had no funds, the committee was terminated with a zero balance and without the need to distribute any funds.

ORDER

The following Order is recommended on the basis of the aforementioned findings:

That the matter be closed without further action.

Adopted this 18 day of _______, 2015 at Hartford, Connecticut.

By Order of the Commission